

Paul E. Sullivan, Esq. Sullivan & Associates, PLLC 601 Pennsylvania Avenue N.W. Suite 900 Washington, D.C. 20004

AUG 5 2008

RE: MUR 5979

Oberweis for Congress

Dear Mr. Sullivan:

On March 10, 2008, the Federal Election Commission notified your clients of a complaint alleging violations of certain sections of the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint was forwarded to your clients at that time.

Upon further review of the allegations contained in the complaint, and information supplied by you, the Commission, on July 29, 2008, voted to dismiss this matter. The letter that you previously received incorrectly reflected the Commission vote date as July 31, 2008. The Factual and Legal Analyses, which more fully explains the Commission's decision, are enclosed for your information.

Documents related to the case will be placed on the public record within 30 days. See Statement of Policy Regarding Disclosure of Closed Enforcement and Related Files, 68 Fed. Reg. 70,426 (Dec. 18, 2003).

If you have any questions, please contact the attorney assigned to this matter, at (202) 694-1650.

Sincerely.

Sidney Rocke

Assistant General Counsel

Enclosures
Factual and Legal Analyses
cc: James Oberweis

Batavia, IL 60510

FEDERAL ELECTION COMMISSION FACTUAL AND LEGAL ANALYSIS

RESPONDENTS: Oberweis for Congress and Sharon Martin

in her official capacity as treasurer

MUR 5979

I. <u>INTRODUCTION</u>

This matter was generated by a complaint filed with the Federal Election

Commission ("Commission") by the Democratic Congressional Campaign Committee

pursuant to 2 U.S.C. § 437g(a)(1).

In accordance with the Millionaires' Amendment of the Bipartisan Campaign

Finance Reform Act, whenever a candidate for the United States House of

Representatives makes or obligated to make an aggregate amount of expenditures from

personal funds in excess of \$350,000 in connection with any election, the candidate or his

authorized committee must notify the Commission, along with each opposing candidate

in the same election, by filing a Form 10 with the Commission within twenty-four hours

after exceeding the threshold. 2 U.S.C. § 441a-1(b)(1)(C); 11 C.F.R. § 400.21(b).

The Committee, in response, argues that the plain reading of the statute and regulations tie the notification requirements to an "election" and not an "election cycle," such that the Millionaires' Amendment triggers when a candidate makes expenditures from personal funds in excess of \$350,000 in connection with any "election" and the

¹ For each additional expenditure of \$10,000 or more, the candidate is required to notify the Commission and each candidate in the same election, and the national party of each such candidate in a Form 10 filing within twenty-four hours of the time such expenditure is made. 2 U.S.C. § 441a-1(b)(1)(F); 11 C.F.R. § 400.22(b).

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special general and general elections by definition are separate "elections." See Response at 6. See also 2 U.S.C. § 441a-1(b)(1(C). The Committee also argues that it exercised due diligence in seeking advice from the Reports Analysis Division ("RAD") to its detriment. Id. Therefore, it asserts that the Commission should be estopped from proceeding against it in this matter since it followed the advice provided by RAD. Id.

On June 26, 2008, the U.S. Supreme Court ruled that the Millionaires'

Amendment and its related reporting requirements are unconstitutional. *Davis v. FEC*,

128 S. Ct. 2759 (2008). The statutory provisions pertaining to the Millionaires'

Amendment were voided by *Davis*. Accordingly, we dismiss the complaint and close the file in this matter.

FEDERAL ELECTION COMMISSION FACTUAL AND LEGAL ANALYSIS

RESPONDENT: James Oberweis MUR 5979

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